

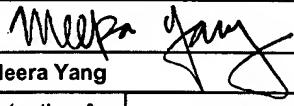


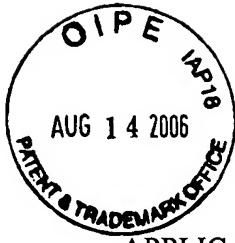
IPW +

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence during pendency of filed application)</i>		Application Number	10/810,232
		Filing Date	March 26, 2004
		First Named Inventor	Ryan Rifkin
		Group Art Unit Number	2626
		Examiner Name	Richemond Dorvil
Total Number of Pages in This Submission	4*	Attorney Docket Number	23085-07809

<b>ENCLOSURES (check all that apply)</b>	
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<input type="checkbox"/> Application Data Sheet	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Information Disclosure Statement & PTO/SB/08A	<input type="checkbox"/>
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<input type="checkbox"/> Status Request	<input type="checkbox"/>
<input type="checkbox"/> Revocation and Substitute Power of Attorney	<input type="checkbox"/>
<b>REMARKS:</b> * No. of pages in this submission does not include pages of cited references	

<b>SIGNATURE OF ATTORNEY OR AGENT</b>		
Signature:	/Neil F. Maloney, Reg. No. 42,833/	
Attorney/Reg. No.:	Neil F. Maloney, Reg. No. 42,833	Dated: August 8, 2006

<b>CERTIFICATE OF MAILING</b>		
I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.		
Signature:		
Typed or Printed Name:	Meera Yang	Dated: August 8, 2006
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Ryan Rifkin  
APPLICATION NO.: 10/810,232  
FILING DATE: March 26, 2004  
TITLE: Speaker Recognition Using Local Models  
EXAMINER: Richemond Dorvil  
GROUP ART UNIT: 2626  
ATTY. DKT. NO.: 23085-07809

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Dated: 8/8/06

By:   
Meera Yang

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**INFORMATION DISCLOSURE STATEMENT**  
**Under 37 CFR §§ 1.56 and 1.97-98**

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;

PATENT

- Copies of any foreign patent documents and non-patent literature cited herein are enclosed.
- Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,

Dated: August 8, 2006

By: /Neil F. Maloney, Reg. No. 42,833/  
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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

Sheet

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of

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Complete if Known	
Application No.	10/810,232
Filing Date	March 26, 2004
First Named Inventor	Ryan Rifkin
Art Unit	2626
Examiner Name	Richemond Dorvil
Attorney Docket Number	23085-07809

U.S. PATENT DOCUMENTS				
		Document No.		
Examiner Initials*	Cite No. <sup>1</sup>	Number – Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	A1	US-5,271,088	12-14-1993	Bahler
	A2	US-5,414,755	05-09-1995	Bahler
	A3	US-6,539,351 B1	03-25-2003	Chen et al.

FOREIGN PATENT DOCUMENTS				
		Foreign Patent Document		
Examiner Initials*	Cite No. <sup>1</sup>	Country Code <sup>3</sup> – Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
				T <sup>6</sup>

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published		T <sup>6</sup>
	C1	ARYA et al., "An Optical Algorithm For Approximated Nearest Neighbor Searching In Fixed Dimensions," Journal of the ACM, Vol. 45, No. 6, November 1998, pp. 891-923.		
	C2	International Search Report and Written Opinion, PCT/US2004/09539; June 27, 2006.		
	C3	PELLOM et al., "An Efficient Scoring Algorithm For Gaussian Mixture Model Based Speaker Identification," IEEE signal processing letters, Vol. 5, 1998, pp. 281-284.		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

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<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.